



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

DM-10/03

3761

9 IDS
12/3/03

In re Application of: Chen, et al.

Attorney Docket No: KCX-484 (17155)

Serial No: 10/036,736

Date: November 3, 2003

Filed: December 21, 2001

Art Unit: 3761

Confirmation No: 3665

Our Customer ID: 22827

Title: Sponge-Like Pad Comprising Paper Layers
And Method Of Manufacture

Our Account No: 04-1403

Commissioner for Patents
U.S. Patent and Trademark Office
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- a. ☒ A list of materials for consideration per Rule 98(a)(1): 1 page(s)
- b. ☒ A legible copy of each patent, publication, or other item listed per Rule 98(1)(2), unless not required per Rule 98 and/or as indicated on the attached list(s):
7 item(s)
- c. ☐ For each non-English language item listed, pursuant to Rule 98(a)(3), a concise explanation of the relevance thereof as it is presently understood by the individual designated in Rule 56(c) most knowledgeable about the content of such items: _____

☐ Such explanation is provided in the Search Report from a corresponding application enclosed herewith along with any enclosed translation into English.

2. ☒ This Information Disclosure Statement is being filed [CHECK ONE]:

- a. ☒ WITHIN THREE MONTHS of the application filing date, national stage date of entry, or along with or after a request for continued examination, OR BEFORE the mailing date of a first Office Action on the merits, which ever event occurs last, WHEREFORE per Rule 97(b) NO filing fee or Rule 97(e) certificate is required.
- b. ☐ AFTER the time periods of section 2.a above, but BEFORE a Final Action, Notice of Allowance OR an action that otherwise closes prosecution, WHEREFORE PER Rule 97(c) submitted herewith is [CHECK ONE]:
 - i. ☐ Certification per Rule 97(e); OR
 - ii. ☐ Filing Fee per Rule 17(p)\$180.00
- c. ☐ AFTER a Final Action OR Notice of Allowance, but BEFORE payment of the issue fee, WHEREFORE per Rule 97(d) submitted herewith is:
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3. ☐ Rule 97(e) Certification; per Rule 97(e), the undersigned certifying party make the following certification statement [CHECK ONE]:

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CERTIFYING PARTY (if different from bottom signature; omission here indicates that certification is being made by signer per signature below).

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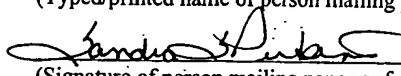
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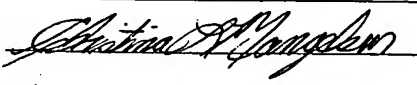
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| | | |
|---|---|------------------------------|
| (R) 5/92 Information Disclosure Statement List By Applicant(s) Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary) | Attorney Docket Number: KCX-484 (17155) | Serial Number: 10/036,736 |
| | Applicant: Chen, et al. | |
| | Filing Date: December 21, 2001 Confirmation No: 3665 | Group Art Unit: 3761 |

NOTE:

If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]

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Relied on under 35 U.S.C. Section 120, per Rule 98(d)
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- (4) No legible complete copy is possessed, in custody of controlled, or readily available
- (5) Per the U.S. Patent and Trademark Office's waiver of Rule 98(a)(2), the item is a U.S. patent or patent application publication, and the present application was filed after June 30, 2003.

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| U.S. PATENT DOCUMENTS | | | | | | | | | | |
|-----------------------|-------------------|---------------|---|---|---|---|---|---|------------|-----------|
| EXAMINER INITIALS | PATENTEE NAME | PATENT NUMBER | | | | | | | ISSUE DATE | COPY NOTE |
| | Schlein | 4 | 7 | 8 | 0 | 3 | 6 | 1 | 10/25/1988 | |
| | Girardot | 5 | 6 | 2 | 0 | 6 | 9 | 4 | 04/15/1997 | |
| | Ouellette, et al. | 6 | 5 | 3 | 4 | 1 | 7 | 4 | 03/18/2003 | |

| U.S. PATENT APPLICATION PUBLICATIONS | | | | | | | | | | |
|--------------------------------------|-------------------|--------------------|---|---|---|---|---|---|------------------|-----------|
| EXAMINER INITIALS | APPLICANT'S NAME | PUBLICATION NUMBER | | | | | | | PUBLICATION DATE | COPY NOTE |
| | Borgonjon, et al. | 0 | 1 | 2 | 1 | 5 | 3 | 0 | 07/03/2003 | |
| | Huyhn, et al. | 0 | 1 | 6 | 2 | 6 | 8 | 4 | 08/28/2003 | |

| FOREIGN PATENT DOCUMENTS | | | | | | | | | | | | | | | |
|--------------------------|--|---------|-----------------|---|---|---|---|---|---|------------------|-------------|----|-----|-----------|--|
| EXAMINER INITIALS | | COUNTRY | DOCUMENT NUMBER | | | | | | | PUBLICATION DATE | TRANSLATION | | | COPY NOTE | |
| | | | | | | | | | | | YES | NO | N/A | | |
| | | WO | 0 | 3 | 0 | 1 | 1 | 1 | 0 | 2 A1 | 02/13/2003 | | | X | |
| | | EP | 0 | 9 | 4 | 5 | 2 | 5 | 1 | A1 | 09/29/1999 | | | X | |

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